



Attorney's Docket No.: 11847-010001

2/00 #6  
BT  
10-7-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Larry Scheinberg and David  
Scheinberg

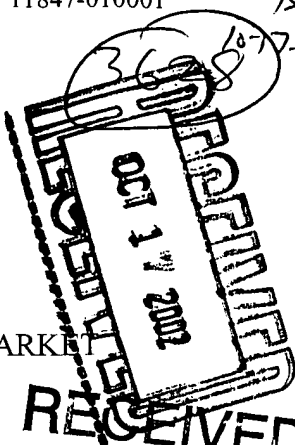
Art Unit : Unknown  
Examiner : Unknown

Serial No. : 09/809,765

Filed : March 15, 2001

Title : MARGIN RELEASE SYSTEM FOR AN ELECTRONIC-BASED MARKET

Commissioner for Patents  
Washington, D.C. 20231



INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

OCT 09 2002  
Technology Center 2100

Respectfully submitted,

Date:

10/02/02

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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 11847-010001	Application No. 09/809,765
		Applicant LARRY SCHEINBERG AND DAVID SCHEINBERG	
		Filing Date March 15, 2001	Group Art Unit

**Information Disclosure Statement**  
**by Applicant**  
(Use several sheets if necessary)

(37 CFR §1.98(b))

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,884,286	3-16-99	Daughtery, III			
	AB	6,263,321	7-17-01	Daughtery, III			
	AC	2001/0056392	12-27-01	Daughtery, III			
	AD	2002/0091625	7-11-02	Blauvelt et al.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	WO 02/23972	28.03.2002	PCT				
	AM							
	AN							
	AO							
	AP							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

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Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	